

12-05-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on October 31, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL896840607US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. LAM2P298

First Named Inventor: BRALY, Linda



25920

PATENT TRADEMARK OFFICE

Jc971 U.S. PTO

10/002676



10/31/01

Diane Schwanbeck

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
**Linda Braly, Vahid Vahedi, Erik Edelberg and Alan Miller**

For: **METHOD FOR PLANARIZATION ETCH WITH IN-SITU MONITORING BY  
INTERFEROMETRY PRIOR TO RECESS ETCH**

**Application Elements:**

- ☒ 28 Pages of Specification, Claims and Abstract  
☒ 13 Sheets of Drawings (Informal)  
☒ 03 Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☒ Request and Certification under 35 U.S.C. § 122(b)(2)(b)(i)  
☐ Other:

**Fee Calculation (37 CFR § 1.16)**

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$370	\$	OR	\$740	\$740
TOTAL CLAIMS	24 -20 = 04		x09=	\$	OR	x18 =	\$ 72
INDEP CLAIMS	03 -03 = 00		x42 =	\$	OR	x84 =	\$
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR	\$280 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$812.00</b>

☒ Check No. 6024 in the amount of \$852.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P298).

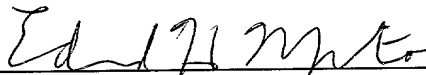
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P298).

☒ Please send correspondence to the following address:

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**Customer No. 25920**

Date: October 31, 2001

  
\_\_\_\_\_  
Edmund H. Mizumoto, Esq.  
Registration No. 46,938

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
)  
Linda BRALY et al. )  
)  
Application No. [To be assigned] )  
)  
Filed: October 31, 2001 )  
)  
For: METHOD FOR PLANARIZATION )  
ETCH WITH IN-SITU MONITORING )  
BY INTERFEROMETRY PRIOR )  
TO RECESS ETCH )  
)

Examiner: Unassigned  
Art Unit: Unassigned  
Docket No. LAM2P298  
Date: October 31, 2001

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Signed: \_\_\_\_\_

Diane Schwanbeck


REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
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